

1632
ITC

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Roland BUELOW, et al.

Application Serial No. 09/921,819

Filed: August 3, 2001

For: **PRODUCTION OF HUMANIZED
ANTIBODIES IN TRANSGENIC
ANIMALS**

) Examiner: Wehbe, Anne Marie Sabrina

) Art Unit: 1632

) Attorney's Docket No. 39691-0005A

) Customer No. 25213

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Date Mailed: **OCTOBER 25, 2004**

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97

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Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

☐ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)
-- OR --
- ☐ (2) It is being filed within 3 months of entry of a national stage
-- OR --
- ☐ (3) It is being filed before the mail date of the first Office Action on the merits
-- OR --
- ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

☒ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:

- ☐ a certification as specified in §1.97(e) is provided below; or
- ☒ a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:

- A. a certification as specified in §1.97(e) is completed below; and
- B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; and
- C. a fee of \$180.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

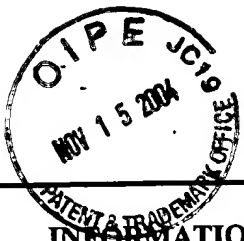
☒ **Fee Authorization.** The Commissioner is hereby authorized to charge the above-referenced fees of \$180.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 08-1641 (Attorney's Docket No. 39691-0005A).

Respectfully submitted,

Dated: October 25, 2004

By: Daphne Reddy
Daphne Reddy (Reg. No. 53,507)

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**INFORMATION DISCLOSURE
STATEMENT**

PTO-1449

ATTY. DOCKET NO.:

39691-0005A

SERIAL NO.:

09/921,819

APPLICANT : Roland BUELOW, et al.

FILING DATE: August 3, 2001

GROUP: 1632

U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	5,202,238	04/13/1993	Fell, Jr. et al.	435	69.6	

FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 99/10505	03/04/1999	PCT	C12N	15/62	<input type="checkbox"/>	<input type="checkbox"/>
	WO 00/46251	08/10/2000				<input type="checkbox"/>	<input type="checkbox"/>
	WO 01/19394	03/22/2001	PCT	A61K	67/027	<input type="checkbox"/>	<input type="checkbox"/>

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Akimenko, M.A., "Rabbit immunoglobulin b9 C kappa light chain gene", June 13, 1985.
<i>Forthcoming</i>	Akimenko, M.A., "Complex allotypes of the rabbit immunoglobulin kappa light chains are encoded by structural alleles", Nucleic Acids Research, Vol. 12, No. 11, pp 4691-4701, 1984.
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EXAMINER	DATE CONSIDERED

Attached

Thank you!

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



INFORMATION DISCLOSURE STATEMENT PTO-1449	ATTY. DOCKET NO.: 39691-0005A	SERIAL NO.: 09/921,819
	APPLICANT : Roland BUELOW, et al.	
	FILING DATE: August 3, 2001	GROUP: 1632
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
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EXAMINER		DATE CONSIDERED

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EXPRESS MAIL NO. EL 993 691 901 - DATE MAILED: OCTOBER 25, 2004
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